

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

SSM-492US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/009313

INTERNATIONAL APPLICATION NO.
PCT/EP00/04097

INTERNATIONAL FILING DATE
05 May 2000 (05.05.00)

PRIORITY DATE CLAIMED
06 May 1999 (06.05.99)

TITLE OF INVENTION
SORTING AND SEPARATING METHOD AND PLANT FOR RECYCLING PLASTICS

APPLICANT(S) FOR DO/EO/US
von DEYM, Carl-Ludwig Graf
STREUBER, Fritz Michael

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. A copy of the International Search Report (PCT/ISA/210).
- 8. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
- 9. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (UNEXECUTED)
- 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- 12. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

- 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 15. A **FIRST** preliminary amendment.
- 16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
- 17. A substitute specification.
- 18. A change of power of attorney and/or address letter.
- 19. Certificate of Mailing by Express Mail
- 20. Other items or information:

Empty rectangular box for additional information or comments.

U.S. APPLICATION NO. (IF KNOWN) 10/00013	INTERNATIONAL APPLICATION NO. PCT/EP00/04097	ATTORNEY'S DOCKET NUMBER SSM-492US
---	---	---

21. The following fees are submitted:
- BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**
- Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$970.00**
 - International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$840.00**
 - International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$690.00**
 - International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$670.00**
 - International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$96.00**

CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =	\$890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

	\$0.00
--	---------------

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	14 - 20 =	0	x \$18.00	\$0.00
Independent claims	2 - 3 =	0	x \$84.00	\$0.00

	\$0.00
--	---------------

Multiple Dependent Claims (check if applicable).

	\$0.00
--	---------------

TOTAL OF ABOVE CALCULATIONS =

	\$890.00
--	-----------------

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).

	\$445.00
--	-----------------

SUBTOTAL =

	\$445.00
--	-----------------

Processing fee of **\$130.00** for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

	\$0.00
--	---------------

TOTAL NATIONAL FEE =

	\$445.00
--	-----------------

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

	\$0.00
--	---------------

TOTAL FEES ENCLOSED =

	\$445.00
--	-----------------

	Amount to be:	\$
	refunded	\$
	charged	\$


- A check in the amount of **\$445.00** to cover the above fees is enclosed.
- Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **18-0350** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Christopher R. Lewis, Esquire
RATNER & PRESTIA
 Suite 301
 One Westlakes, Berwyn
 P.O. Box 980
 Valley Forge, PA 19482-0980

Telephone: (610) 407-0700
 Facsimile: (610) 407-0701



 SIGNATURE

Christopher R. Lewis

 NAME

36,201

 REGISTRATION NUMBER

November 6, 2001

 DATE

JG ac'd PCT/110 06 NOV 2001
10/009319
SSM-492L

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Carl-Ludwig Graf von Deym and Fritz Michael Streuber

Serial No. To Be Assigned	Filing Date Herewith	Examiner	Group Art Unit
------------------------------	-------------------------	----------	----------------

Invention: **SORTING AND SEPARATING METHOD AND PLANT FOR RECYCLING PLASTICS**

I hereby certify that the following correspondence:


U.S. National Phase Application (including Form PTO-1390 and all of the documents listed therein)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 6, 2001
(Date)

Kathleen Libby
(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

EL743541268US
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.